

All you need to know about the evaluation



Horizon 2020 Energy Virtual Info Day

#H2020Energy

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INEA in short







- Turning policy into action:
 - ✓ Organising calls for proposals
 - ✓ Monitoring the technical and financial implementation of projects
 - ✓ Supervised by 4 Commission DGs: ENER, MOVE, RTD, CNECT
- Delegated programmes: Horizon 2020 <u>Energy</u> and Transport,
 Connecting Europe Facility and 2007-2013 legacy programmes
- Managing €34 billion in 2014-2020; 2100+ projects expected by 2020





Submission of proposals

- Submission via the Research & Innovation Participant Portal (electronic submission only)
- Unregistered user: Search for funding opportunities, read manuals and legal documents, check FAQs etc.
- Registered user: Submit proposals, sign grant agreements, manage lifecycle etc.
- Very important: Start preparing the proposal as early as possible and submit on time





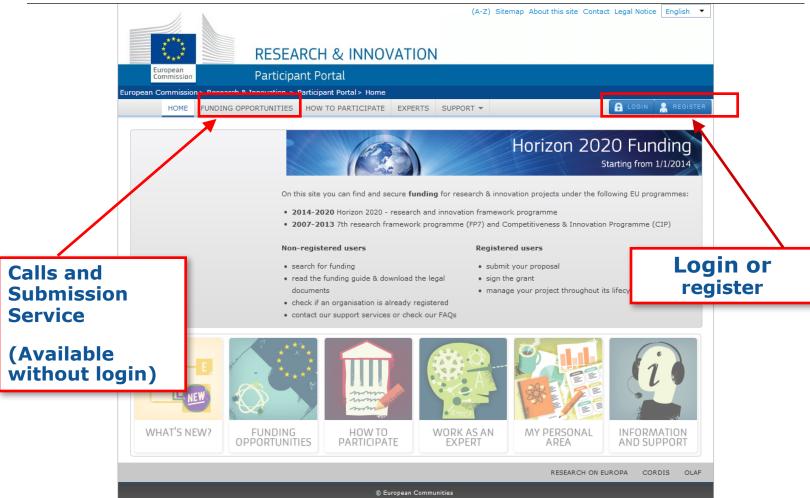
Submission of proposals – Key dates

2017 activities	Budget	Opening	Deadline
Competitive Low-Carbon Energy Two-Stage topics - 1st Stage (RIA) Two-Stage topics - 2nd Stage (RIA)	€94.5 million	Open since 29 July 2016	29 November 2016
		28 April 2017	22 August 2017
Competitive Low-Carbon Energy Single-Stage topics - RIA	€60 million	20 September 2016 (today)	5 January 2017
Competitive Low-Carbon Energy Single-Stage topics - CSA	€15 million	20 September 2016 (today)	5 January 2017
Competitive Low-Carbon Energy Single-Stage topics - IA	€105 million	11 May 2017	7 September 2017

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Research and Innovation







Key steps of the evaluation

- Admissibility and eligibility checks
 - All forms submitted?
 - Call conditions met?
- Evaluation by external experts

(minimum 3; up to 7 for complex proposals)

- Proposals evaluated as submitted
- Lower score if shortcomings are identified

Only admissible and eligible proposals are evaluated





External experts

- Selected from a database of experts over 80 000 evaluators
- Main requirements:
 - High-level of expertise in the relevant fields of R&I
 - Independence (evaluating in a personal capacity),
 Impartiality (no conflict of interest), objectivity
- Balanced composition of groups:
 - ✓ Professional experience (e.g. academia, business), gender and geographical balance
 - Regular rotation and involvement of new experts





What do we ask them to do?

Understand Call Text

- Read briefing material
- Follow remote briefings

Evaluate individually

- A number of proposals
- Provide assessment against evaluation criteria

Come to a Consensus

- Discuss with other experts
- Reach consensus on comments and scores
- Calibrate with other evaluators.



Against what do we ask them to evaluate?

Excellence

 Credibility of the approach, soundness of concept, clarity and pertinence of the objectives, extent of ambition, innovation potential

Impact

 As explained in Work Programme, exploitation communication and dissemination plan

Quality & Efficiency of Implementation

• Coherence, effectiveness, complementarity in the consortium, risk and innovation management, appropriateness of management structures and procedures

*New for H2020 Energy – 2-stage evaluations: for first-stage proposals only the criteria 'excellence' and part of 'impact' will be evaluated





Outcome

- Ranked lists of proposals: based on scores of experts
- Possible outcomes for applicants:
 - Main list proposal = top scores > start grant agreement preparation
 - Reserve list proposal = score above thresholds > if additional funding available in future will get invitation to start grant agreement preparation
 - Rejection = below threshold or above threshold but no available funding
- One or more observers (external experts) monitor the evaluations to verify compliance with procedures
- Time to inform applicants: max 5 months





Grant agreement preparation

- After the evaluation, successful proposals get an invitation letter to start the Grant Agreement preparation
- Very tight timeline: time to grant of max 8 months
- New in H2020: no negotiation
 - The proposal has been evaluated according to its merits
 - No substantial changes during the grant agreement preparation





Conclusions

- **Evaluation**: a transparent, fair, and efficient process
- Large demand and strong competition
- Pencil the opening and deadline dates in your calendars
- Start preparing your proposal as early as possible
- Check FAQs on the Participant portal
- Don't wait until the deadline to submit your proposals
- And if you aren't submitting proposals, we always need new external evaluators – enrol via the Participant Portal

Good luck!





For more information



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Look for INEA!

