

# All you need to know about the evaluation

Horizon 2020 Energy  
Virtual Info Day

#H2020Energy

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# INEA in short



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- **Turning policy into action:**
  - ✓ Organising **calls for proposals**
  - ✓ Monitoring the technical and financial **implementation of projects**
  - ✓ Supervised by 4 **Commission DGs**: ENER, MOVE, RTD, CNECT
- **Delegated programmes:** Horizon 2020 **Energy** and Transport, Connecting Europe Facility and 2007-2013 legacy programmes
- Managing €34 billion in 2014-2020; 2100+ projects expected by 2020



# Submission of proposals

- **Submission via the Research & Innovation Participant Portal** (electronic submission only)
- **Unregistered user:** Search for funding opportunities, read manuals and legal documents, check FAQs etc.
- **Registered user:** Submit proposals, sign grant agreements, manage lifecycle etc.
- **Very important:** Start preparing the proposal as early as possible and submit on time

# Submission of proposals – Key dates

2017 activities	Budget	Opening	Deadline
<b>Competitive Low-Carbon Energy</b> Two-Stage topics - <b>1<sup>st</sup> Stage (RIA)</b>  Two-Stage topics - <b>2<sup>nd</sup> Stage (RIA)</b>	€94.5 million	Open since 29 July 2016  28 April 2017	29 November 2016  22 August 2017
<b>Competitive Low-Carbon Energy</b> Single-Stage topics - <b>RIA</b>	€60 million	20 September 2016 (today)	5 January 2017
<b>Competitive Low-Carbon Energy</b> Single-Stage topics - <b>CSA</b>	€15 million	20 September 2016 (today)	5 January 2017
<b>Competitive Low-Carbon Energy</b> Single-Stage topics - <b>IA</b>	€105 million	11 May 2017	7 September 2017

## RESEARCH & INNOVATION

### Participant Portal

European Commission > Research & Innovation > Participant Portal > Home

HOME

FUNDING OPPORTUNITIES

HOW TO PARTICIPATE

EXPERTS

SUPPORT

LOGIN

REGISTER

## Horizon 2020 Funding

Starting from 1/1/2014

On this site you can find and secure **funding** for research & innovation projects under the following EU programmes:

- **2014-2020** Horizon 2020 - research and innovation framework programme
- **2007-2013** 7th research framework programme (FP7) and Competitiveness & Innovation Programme (CIP)

#### Non-registered users

- search for funding
- read the funding guide & download the legal documents
- check if an organisation is already registered
- contact our support services or check our FAQs

#### Registered users

- submit your proposal
- sign the grant
- manage your project throughout its lifecycle

**Calls and Submission Service**

**(Available without login)**

**Login or register**

WHAT'S NEW?

FUNDING OPPORTUNITIES

HOW TO PARTICIPATE

WORK AS AN EXPERT

MY PERSONAL AREA

INFORMATION AND SUPPORT



# Key steps of the evaluation

- **Admissibility and eligibility checks**
  - All forms submitted?
  - Call conditions met?
- **Evaluation by external experts**  
(minimum 3; up to 7 for complex proposals)
  - Proposals evaluated as submitted
  - Lower score if shortcomings are identified

*Only admissible and eligible proposals are evaluated*



# External experts

- Selected from a **database of experts** - over 80 000 evaluators
- Main requirements:
  - **High-level of expertise** in the relevant fields of R&I
  - **Independence** (evaluating in a personal capacity), **Impartiality** (no conflict of interest), **objectivity**
- **Balanced composition of groups:**
  - ✓ Professional experience (e.g. academia, business), gender and geographical balance
  - ✓ Regular rotation and involvement of new experts

# What do we ask them to do?

## Understand Call Text

- Read briefing material
- Follow remote briefings

## Evaluate individually

- A number of proposals
- Provide assessment against evaluation criteria

## Come to a Consensus

- Discuss with other experts
- Reach consensus on comments and scores
- Calibrate with other evaluators.



# Against what do we ask them to evaluate?

## Excellence

- Credibility of the approach, soundness of concept, clarity and pertinence of the objectives, extent of ambition, innovation potential

## Impact

- As explained in Work Programme, exploitation communication and dissemination plan

## Quality & Efficiency of Implementation

- Coherence, effectiveness, complementarity in the consortium, risk and innovation management, appropriateness of management structures and procedures

**\*New for H2020 Energy** – 2-stage evaluations: for first-stage proposals only the criteria 'excellence' and part of 'impact' will be evaluated



# Outcome

- **Ranked lists of proposals:** based on scores of experts
- Possible outcomes for applicants:
  - **Main list proposal** = top scores > start grant agreement preparation
  - **Reserve list proposal** = score above thresholds > if additional funding available in future will get invitation to start grant agreement preparation
  - **Rejection** = below threshold or above threshold but no available funding
- One or more **observers** (external experts) monitor the evaluations to verify **compliance with procedures**
- **Time to inform applicants:** max 5 months



# Grant agreement preparation

- After the evaluation, successful proposals get an invitation letter to **start the Grant Agreement preparation**
- Very **tight timeline**: time to grant of max 8 months
- **New in H2020: no negotiation**
  - The proposal has been evaluated according to its merits
  - No substantial changes during the grant agreement preparation



# Conclusions

- **Evaluation:** a transparent, fair, and efficient process
- Large demand and **strong competition**
- Pencil the **opening and deadline dates** in your calendars
- Start preparing your proposal **as early as possible**
- **Check FAQs** on the Participant portal
- **Don't wait until the deadline** to submit your proposals
- And if you aren't submitting proposals, **we always need new external evaluators** – enrol via the Participant Portal

**Good luck!**



# For more information



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**<http://ec.europa.eu/inea>**



**[@inea\\_eu](https://twitter.com/inea_eu)**



**Look for INEA!**

**Thank you!**