

HORIZON 2020 - Future & Emerging Technologies (FET)

Paris, 21st December 2017

FET Flagships in Horizon 2020

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DG Connect, European Commission

Horizon 2020

24B€

Excellent Science

European Research Council

Future and Emerging Technologies (2,7B€)

Marie S. Curie Actions
Research Infrastructures

Industrial Leadership

17B€

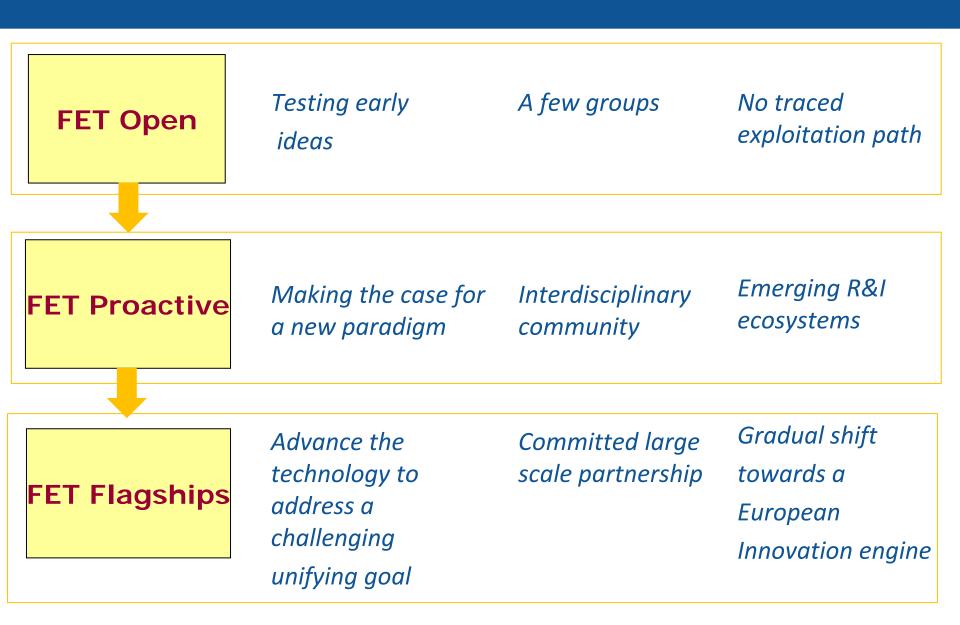
Societal Challenges

30B€

FET Mission



FET Intervention logic



FET Flagships

What are they?

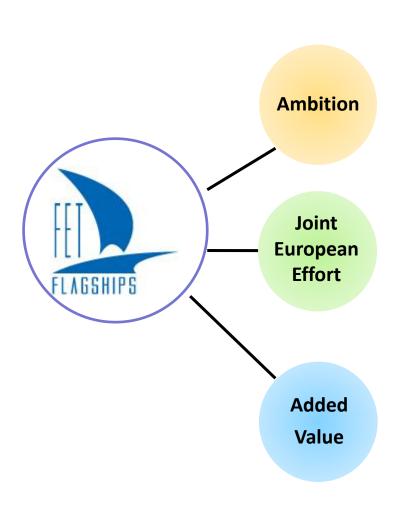
- Science-driven, large-scale research initiatives built around an ambitious unifying vision
- grand S&T challenges requiring interdisciplinary cooperation and involving academia and industry
- convert scientific advances into technology developments: from lab to the market place

~ 1 Billion Euro

~ 10 year duration

Flagships are implemented in close cooperation between the European Commission and the Member States

What do they bring?



EU added value





International Collaboration





Board of Funders

National delegates mandated to speak on behalf of their country regarding financial support to the FET Flagships

Possibly accompanied by experts in the topics to be addressed in a particular meeting.







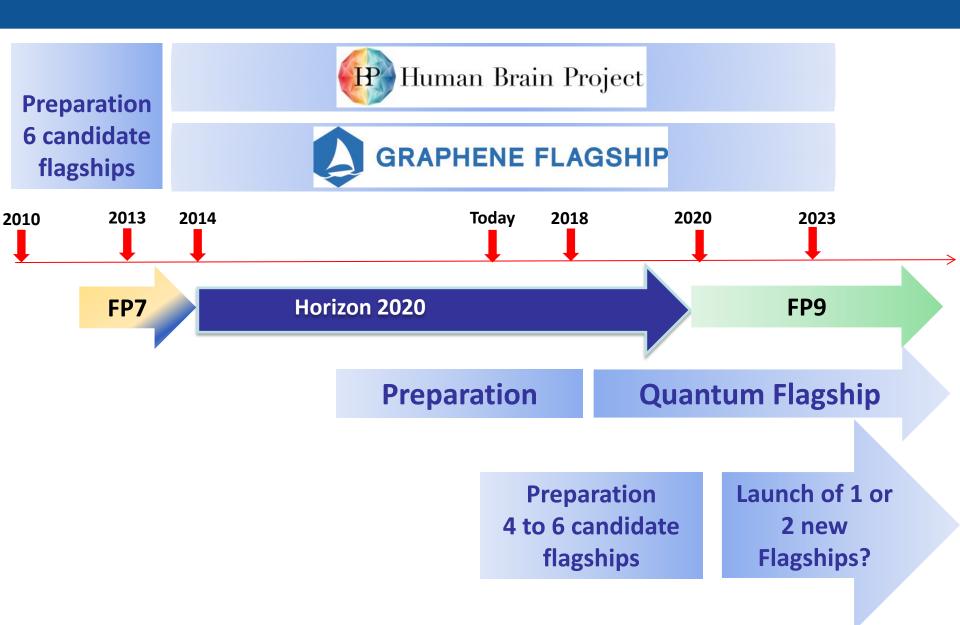




Objectives

- Exchanging information on the overall direction and strategy of the FET Flagships
- Fostering synergies
- Programming activities in support of the flagships
- Linking with innovation policies
- Fostering INCO

Flagships state of play



Flagships Interim Evaluation



Hembers of the Evaluation Pan Heric Dans Genera (Date) Date Stringen Halled Strine Heisten Strine

Mandate:

- evaluation of the FET-Flagship instrument, and its implementation through the HBP and Graphene Flagships
- provide recommendations on implementation and governance model

Name	Position
Maria Carrozza (Chair)	Member of the Italian Parliament; Professor at Scuola Superiore Sant'Anna, Italy
Charlotte Brogren	Director General VINNOVA, Sweden
Ruth McKernan	Chief Executive Director of Innovate UK, United Kingdom
Matthias Kleiner	President of the Leibniz Association, Germany
Michal Kleiber	Vice-President of the European Academy of Sciences and Arts, President of the European Materials Forum, Poland

- One year of investigation (data collection and KPIs, interviews with stakeholders, comparison with other initiatives, ...)
- Part of the Horizon 2020 mid-term evaluation



Flagships Interim Evaluation Recommendations



Strategic Relevance of the Flagship Instrument in Setting and Implementing the European Strategy for Research and Innovation

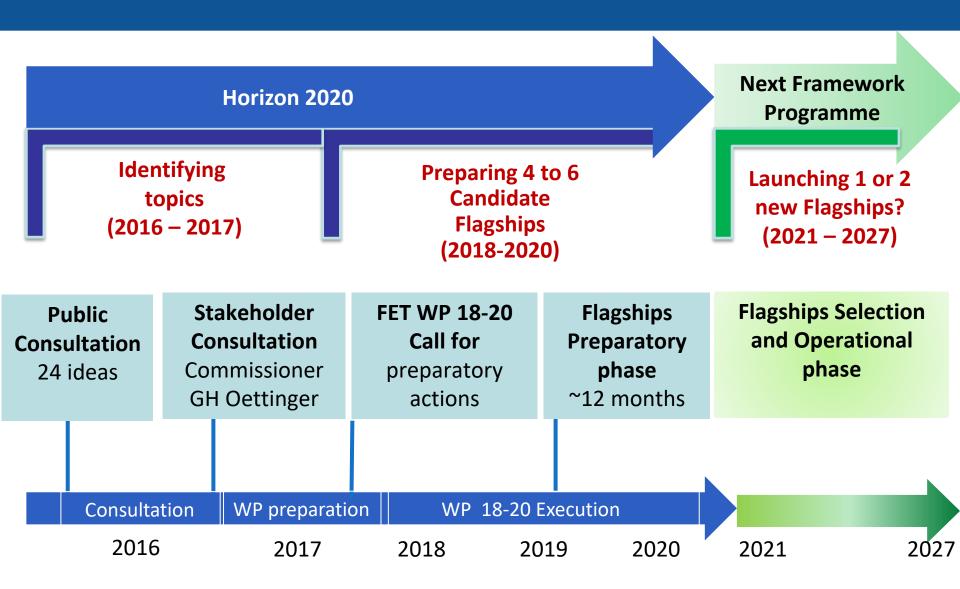
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- Increase Clarity of Purpose and Differentiation between the Flagships
 and other Research Instruments
- Establish a Standard Means of Assessing the Flagships based on Key
 Performance Indicators that Fully Reflect Purpose
- Improve Operational Management to Enhance the Budget Flexibility and Reduce Administrative Overhead
- ✓ Improve Strategic Management to Enhance Openness of the Flagships towards Adopting New Directions
- ✓ Improve Coherence with other Horizon 2020 Activities
- ✓ Improve the Process of Selecting Flagships
- ✓ Improve Engagement with National Initiatives

What do we take from the report

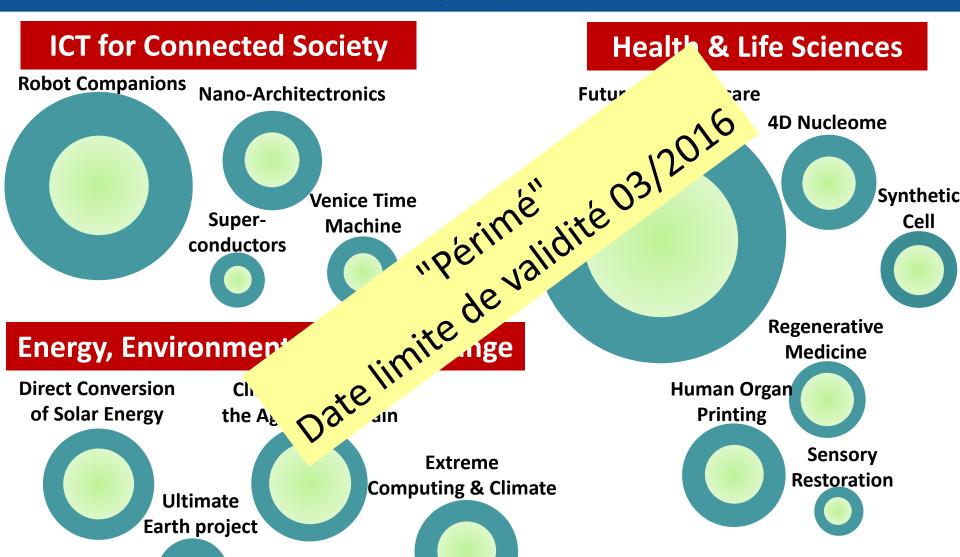
- ✓ Short term : on going flagships
 - ✓ Improve set of KPIs in running flagships
 - ✓ Providing flexibility & reducing overhead by adopting 2 years reporting cycle & moving to a 3 years SGA in WP2018-2020
 - ✓ New directions adopted by the flagships as they progress.
 - ✓ Further stimulate awareness raising and communication across H2020 initiatives
- ✓ Longer term : new flagships
 - ✓ Endorsement for jointly preparing new flagships with Member States & with the community
 - ✓ Reflecting on best model for flagships in FP9

Preparing for new FET-Flagships

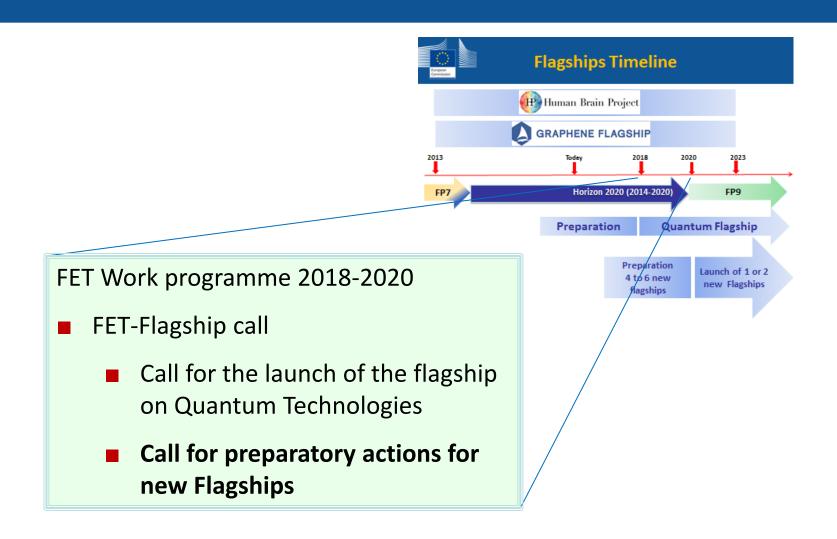


Consultation outcome: Clustering of the most supported flagship ideas – Dec 2016





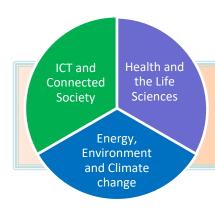
FET Work Programme 2018-2020



Areas & Sub-Areas

How were they chosen?

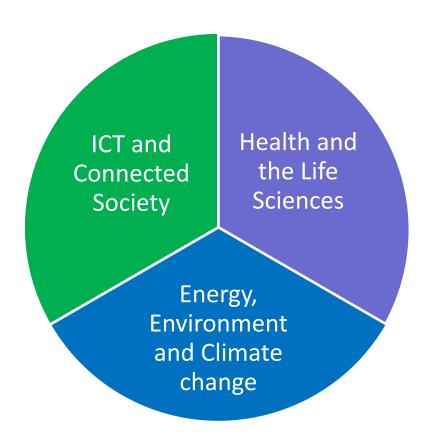
- Wide public consultation early 2016
- Roundtable with Member States and Associated countries end of 2016 to confirm national support
- After this continued interaction with country representatives to develop areas and sub-areas



Three main areas

Several sub-areas in each of these

Areas



Proposals must target a visionary goal in one area

In each area at most two CSAs

Two-stage evaluation

Areas & Sub-Areas

ICT and Connected Society

- Smart Materials and Nanoscale Engineering
- Robotics, Interfaces and Artificial Intelligence
- ICT for Social Interaction and Culture

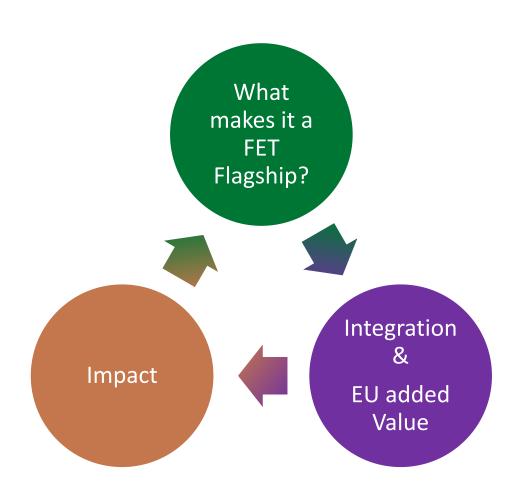
- Disruptive technologies to Revolutionise Healthcare
- Understanding Life by Exploring the Genome and the Cell

Health and the Life Sciences

Energy, Environment and Climate change

- Earth, Climate Change and Natural Resources
- Radically new Energy Production, Conversion and Storage devices and systems

Where to start from?

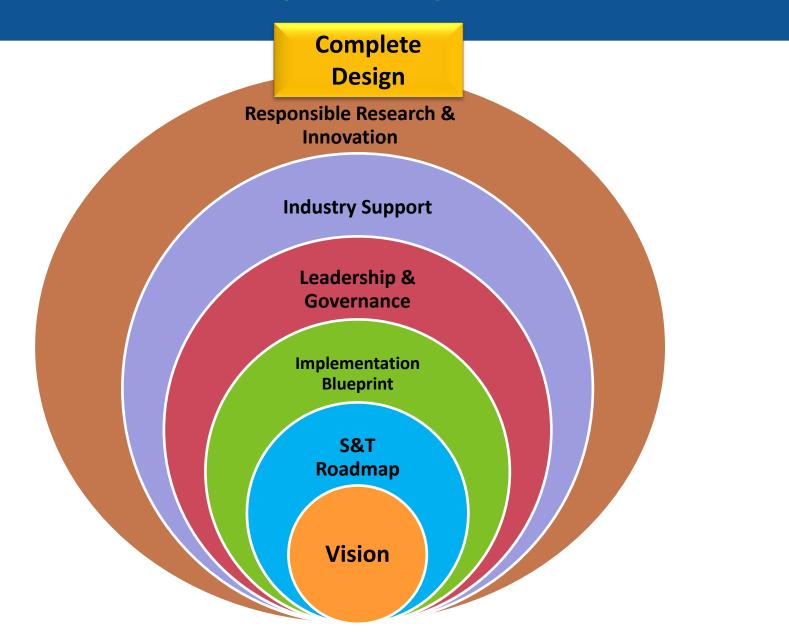


Preparatory Action – indicative actions

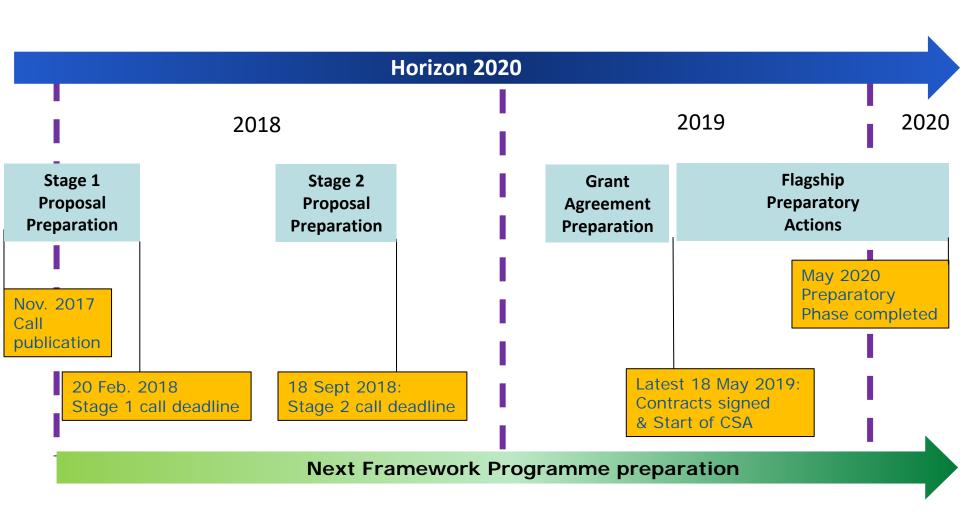




What should Preparatory Action Deliver?

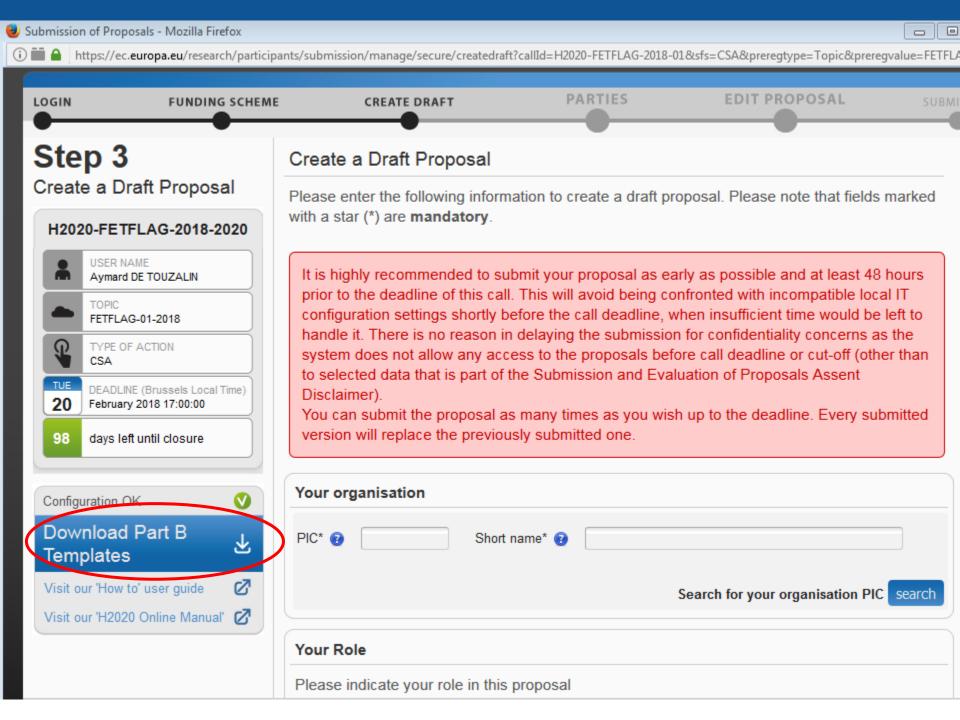


Tentative timeline for new FET-Flagships call



Evaluation

- 1 or 2 Preparatory Actions (CSA) to be selected per area
 - Competition within the 3 areas, no competition across areas
 - Duration of actions: 12 months; Indicative budget: 1M€ per action
- Specific evaluation criteria assessing both the flagship idea and the implementation of the preparatory action
- Two stage evaluation by S&T experts
 - Stage1: short proposal 20 pages : the Flagship idea scientific excellence and expected impact (specific template)
 - Stage2: full proposal 50 pages: full evaluation including implementation of the coordination action
- Thresholds: S&T 4/5; Impact 4/5; Implementation 3/5



Evaluation criteria – Stage 1 Excellence

Excellence Threshold: 4/5

Degree of adherence to the FET Flagship concept as specified in the work programme

- Soundness of the proposed Flagship's vision, scientific concept, quality and pertinence of the objectives and of its targeted technologies and progress beyond the state-of-the-art
- Existence of excellence and critical mass in Europe to reach the Flagship goals in the long term and extent to which these are considered in the proposed Flagship

Evaluation criteria – Stage 1 Impact

Impact Threshold: 4/5

- Key benefits for economy and society based on significant advances on science and technology. This should be demonstrated by e.g. potential for S&T breakthroughs, industrial support, added value for Europe, potential for increasing European competitiveness, potential for societal benefits, etc.
- Long-lasting structuring effect on research efforts in Europe, anchor point for international cooperation and the nurturing of talent through the training of a new generation of researchers.
- Extent to which proposed Flagship creates EU added value by making use of complementarities and exploiting synergies, and enhances the overall outcome of regional, national, European and international research programmes.

Evaluation criteria – Stage 2 Excellence, Impact & Implementation

Excellence Threshold: 4/5

Same criteria as for Stage 1

Impact Threshold: 4/5

- Same criteria as for Stage 1
- Contribution of the proposed CSA to a fully candidate FET Flagships initiative supported by key stakeholders (e.g. academic research communities, industry, public authorities...)
- Quality of the proposed CSA approach to disseminate the project results, and to attract large public support

Implementation Threshold: 3/5

- Quality and effectiveness of the CSA methodology, work plan, and coordination activities
- Quality and effectiveness of the approach to engage with relevant research initiatives in the Member States and countries associated with H2020, industry and interdisciplinary research communities, as well as initiatives at European level
- Appropriateness of the proposed CSA management structures and procedures, including risk management
- Quality of the Consortium as a whole, including complementarity of the participants and extent to which the consortium as whole brings together the necessary expertise to carry out the tasks foreseen in the CSA
- Appropriateness of the allocation of tasks and resources, ensuring that all participants have a valid role and adequate resources in the CSA project to fulfil that role

Things to keep in mind

Level of ambition

Industrial Perspective

Openness

Interdisciplinarity

Maturity of community

A preparatory action does not imply any commitment for FP9

Get ready, only 60 days left!