

### **Innovation Takes Off**





# Principles of the *Clean Sky 2*Call for Proposals

Brussels, 8 November 2018

#### **Innovation Takes Off**



### Agenda (1/2)

09:30 - 10:00	Registration
10:00 - 10:15	Welcome and Brief Overview of Clean Sky 2 Programme
10:15 - 11:45	Principles of the Clean Sky 2 Call for Proposals
	Practical Aspects
	Legal, Administrative and Financial Aspects
11:45 - 12:15	Synergies with ESIF: brief overview of JU strategic approach for stimulating cooperation and synergies in aeronautics with Regions Europe
12:15 - 12:45	Thematic Topics
12:45 - 13:00	Question with the Audience
13:00 - 14:00	Networking Lunch



# Agenda (2/2) Technical Sessions [14.00-16.15]

Questions with the Audience	е		
Networking Lunch			
Technical Sessions dedicated to CfP09 Call Topics			
Parallel Session I (14:00 - 1	5:00)		
Airframe ITD Systems ITD Large Passenger Aircraft IADP			
Floor 1, Room 2  • Vittorio <u>Selmin</u> , CSJU PO	Floor 1, Room 3  • Michel Goulain, CSJU PO	Floor 1, Room 4  Sebastien Dubois, CSJU PO Paolo <u>Trinchieri</u> , CSJU PO	
Parallel Session II (15:15 - 16:15)			
Fast Rotorcraft IADP	Technology Evaluator TA	Engines ITD	
Floor 1, Room 2  • Andrzej <u>Podsadowski</u> , CSJU PO	Floor 1, Room 3  Michel Goulain, CSJU PO Thomas Zill, DLR Ralf Berghof, DLR	Floor 1, Room 4  Paolo <u>Trinchieri</u> , CSJU PO  Christina-Maria <u>Margariti</u> , CSJU PA  William <u>Roussel</u> , <u>Safran</u>	
	Networking Lunch  Technical Sessions dedicated  Parallel Session I (14:00 - 1  Airframe ITD  Floor 1, Room 2  • Vittorio Selmin, CSJU PO  Parallel Session II (15:15 - 1  Fast Rotorcraft IADP  Floor 1, Room 2	Technical Sessions dedicated to CfP09 Call Topics  Parallel Session I (14:00 - 15:00)  Airframe ITD Systems ITD  Floor 1, Room 2 Floor 1, Room 3 • Michel Goulain, CSJU PO  Parallel Session II (15:15 - 16:15)  Fast Rotorcraft IADP Technology Evaluator TA  Floor 1, Room 2 Floor 1, Room 3 • Michel Goulain, CSJU PO • Thomas Zill, DLR	



# Clean Sky 2 9th Call for Proposals: Practical Aspects

Brussels, 8 November 2018

### **Innovation Takes Off**



### 9<sup>th</sup> Call for Proposal at a glance

#### Key dates to remember\*

Call Launch
 6 November 2018

Call Closure
 6 February 2019, 17:00 (Brussels Time)

Evaluation Phase
 February - March 2019

Q&A last publication\*\*
 19 December 2018

Technical sessions & GAP preparation Q2-Q3 2019

Indicative Start date of activities Q3 2019

In case of questions, feel free to use our dedicated **Call mailbox**: Info-Call-CFP-2018-02@cleansky.eu. Our functional mailbox will be active as from the date of the call. We handle all questions in the same way for the benefit of all potential applicants: Q&A are regularly updated over the whole call life cycle and are published via the H2020 Participant Portal of the European Commission.

Questions received up until 5<sup>th</sup> December 2018, 17:00 (Brussels Time) will be answered after analysis and published in Q&A when appropriate. In total, 3 Q&A publications are foreseen: 06/11/2018, 22/11/2018, 19/12/2018 (estimated dates).

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<sup>\*</sup>Dates are provided as indicative/target dates.

<sup>\*\*</sup>Questions received until 5th December 2018 (Brussels Time), 17.00 will be answered after analysis and published when appropriate.



### CfP09 - Call Overview

Status: 06.11.2018

SPD Area	No. of topics	Ind. topic Funding (M€)
IADP Large Passenger Aircraft	20	26.50
IADP Regional Aircraft	0	0
IADP Fast Rotorcraft	3	11.45
ITD Airframe	11	10.25
ITD Engines	4	3.35
ITD Systems	10	6.70
Small Air Transport (SAT) related topics*	[2]	[1.55]
ECO Design related topics	[1]	[1.50]
Technology Evaluator	3	0.85
TOTAL	<u>51</u>	<u>59.10</u>

<sup>\*</sup>TA related topics are proposed and embedded in the following SPD and as follows: AIR ITD: 2 SAT topics, 1.55 M€; ENG ITD: 1 ECO topic, 1.50 M€

Thematic Topics	No. of	Ind. topic
	topics	Funding (M€)
TOTAL	<u>4</u>	<u>10</u>

### Partners: Definition and Role

- Selected via Calls for Proposals (2 to 3 launched per years)
- Short/medium-term commitment;
- Level and quality of resources adequate for the Topic concerned;
- Competences / capabilities necessary to carry out the activities aiming at developing new knowledge, new technologies and solutions contributing to the action;
- The scope and perimeter of activities is defined from the beginning and might be of various type (study, design, simulation, development, manufacturing, integration etc.);
- The activities are limited in time and closely related to the needs as proposed by IADP/ITD Steering Committees and approved for CfP launch by the CS2JU;
- The activity is defined and will be technically lead by the Topic Manager following the technical roadmap/goals of the ITD/IADP

# Partner Topic Description within the complementary framework of an ITD/ IADP/TA

### The **Topic descriptions**:

- Part of the Work Plan approved by the board of the CS2JU (provides the detailed technical description of each topics)
- Describe the tasks as defined by the Topic Manager (representing a Member of the ITD/ IADP/TA) based on the objectives of the ITD/IADP/TA
- Aligned with the CS2 Joint Technical Programme in support of HLOs of each ITD/IADP/TA (JTP: the strategic vision of the programme)
- Define the nature of the action: RIA (Research and Innovation), IA (Innovation) or CSA (Coordination and Support)

### They contain the following:

- Activities & outputs as required within the IADP/ITD/TA
- Indicative topic values (funding in M€)
- Capabilities and technology areas concerned
- Timeline
- Deliverables & Milestones



# Partner Topic Description within the complementary framework of an ITD/ IADP/TA - example

#### 4. Clean Sky 2 - Large Passenger Aircraft IAPD

 JTI-CS2-2018-CfP09-LPA-01-58: BLI configurations of classical tube and wing aircraft architecture - Wind tunnel tests insight into propulsor inlet distortion and power saving

*					
Type of a	ection (RIA/IA/CSA	ı):	RIA		
Programi	Programme Area:		LPA		
(CS2 JTP	(CS2 JTP 2015) WP Ref.:		WP 1.1.3.6		
Indicative Funding Topic Value (in k€):		3500			
Topic Lea	ader:	Safran Tech	Type of Agreement:	Implementation	
				Agreement	
Duration	of the action (in	36	Indicative Start Date (at	Q3 2019	
Months)	:		the earliest)6:		

Topic Identification Code	Title
JTI-CS2-2018-CfP09-LPA-01-58	BLI configurations of classical tube and wing aircraft architecture -
	Wind tunnel tests insight into propulsor inlet distortion and power
	saving

#### Short description

Boundary Layer Ingestion aircraft configurations aim to reduce the propulsive system power consumption, but face the challenge of the propulsive fan ingesting a distorded flow. This project will focus on the BLI configurations of tube and wing aircraft architectures. Through wind tunnel tests, it should allow a better understanding of the dependencies of the fan inlet distortion pattern and power saving, on the aircraft as well as the propulsor main geometric characteristics and operating points. The knowledges will serve for the "DX2 Boundary Layer Ingestion" demonstrator.

Links to the Clean Sky 2 Programme High-level Objectives <sup>7</sup>				
This topic is located	in the demonstration	on Boundary Layer	Ingestion	
area:				
The outcome of the	The outcome of the project will mainly Ultra-advanced Long-range			
contribute to the	following conceptu	ual Ultra-advanced	Short/Medium-range	
aircraft/air transport	type as presented	in		
the scene setter:				
With expected impacts related to the Programme high-level objectives:				
Reducing CO₂	Reducing NO <sub>x</sub>	Reducing Noise	Improving EU	Improving
emissions	emissions	emissions	Competitiveness	Mobility
Ø				

#### 1. Background

High Performance Electrical Components for Bleed Control studies present significant opportunities of weight reduction and optimized maintenance through the suppression of the need for pressurized fluid for actuation.

With the development of full electrical architectures in order to support the needs of More Electric Aircraft and future Non propulsive Energy Generation system, it is necessary to consider enhanced electrical bleed control valve and inlet guide vane actuators, in terms of reliability (targeted MTBF: 50 000 h), with weight, volume and costs competitive with hydraulic / pneumatic technologies.

The introduction of EMA technology on previous Power On Demand Systems has enabled the demonstration of the feasability of an electrical actuated bleedflow control, offering electrification of the bleed control function.

#### 2. Scope of work

Tasks		
Ref. No.	Title - Description	Due Date
T2.1	Initial Requirements Capture – define requirements and interfaces through discussion with stakeholders	T0+1
T2.2	Component selection – perform initial performance analyses to enable baseline selection of components to meet the main performance requirements.	T0+3
T2.3	Components architecture definition	T0+6
T2.4	Functional modelling and failure mode analysis (FMEA)	T0+9
T2.5	Module Functional definition	T0+9
T2.6	Supply chain identification and industrialization assessment	T0+10

#### 3. Major Deliverables/ Milestones and schedule (estimate)

\*Type: R=Report, D=Data, HW=Hardware

*Type: K	-keport, D=Data, HW=Haraware		
Deliverables			
Ref. No.	Title - Description	Type*	Due Date
D2.1	Preliminary Requirements Specification	R	T0+3
D2.2	Module Architecture Report	R	T0+6
D2.3	Industrialisation Assessment Report	R	T0+12
D2.6	Test report	R	T0+27
D2.7	Two components shipsets for integration	HW	T0+27
D2.8	Final report (incl. reliability prediction)	R	T0+30
Milestones (when appropriate)			
Ref. No.	Title - Description	Type*	Due Date
D2.4	Preliminary Design Review	D	T0+12

#### 4. Special skills, Capabilities, Certification expected from the Applicant(s)

- Specialist in pneumatics systems & control systems
- Links with, or internal, design & industrial capacity in power electronics
- Mechanical design of actuators
- Knowledge of aeronautical constraints (environments)
- Test & analysis capability to support detailed behavioural characterisation of power components



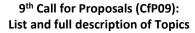
### CfP09 Call text

Final and Valid Call documents via the EC Participant Portal

#### Open access to research data The Open Research Data Pilot has been extended to cover all Horizon 2020 topics for which the submission is opened on 26 July 2016 or later. Projects funded under this topic will therefore by default provide open access to the research data they generate, except if they decide to opt-out under the conditions described in Annex L of the H2020 Work Programme. Projects can opt-out at any stage, that is both before and after the grant signature. Note that the evaluation phase proposals will not be evaluated more favourably because they plan to open or share their data, and will not be penalised for opting out. Open research data sharing applies to the data needed to validate the results presented in scientific publications. Additionally, projects can choose to make other data available open access and need to describe their approach in a Data Management Plan. Projects need to create a Data Management Plan (DMP), except if they opt-out of making their research data open access. A first version of the DMP must be provided as an early deliverable within six months of the project and should be updated during the project as appropriate. The Commission already provides guidance documents, including a template for DMPs. See the Online Manual. Eligibility of costs: costs related to data management and data sharing are eligible for reimbursement during the project duration. The legal requirements for projects participating in this pilot are in the article 29.3 of the Model Grant Agreement 8. Additional documents: Clean Sky 2 Joint Technical Programme Clean Sky 2 JU Financial Rules Clean Sky 2 JU Regulation of Establishment H2020 Regulation of Establishment H2020 Rules for Participation H2020 Specific Programme Additional documents Download all documents (EN only, incl. the additional docs.) Full and Formal Description of Topics (Annex of Work Plan) en Template for Part B I of Proposal en Template for Part B.II of Proposal en Template for Part C of Proposal (Optional) en Template for Part D of Proposal en Guidence for optional Part C of proposals - European Structural and Investment Funds en A template for Part A is not provided here because Part A consists of on-line forms in the submission system Mono-Beneficiary Model Grant Agreement for Partners en Multi-Beneficiary Model Grant Agreement for Partners en Horizon 2020 Annotated Model Grant Agreement - Link to document en Model Implimentation Agreement (2017) en ITD / IADP Model Consortium Agreement en The Templates for drafting proposals will also be available in the submission system (SEP) CS2 Joint Technical Programme (for size reasons this very large doc can be downloaded separatly in section 8 under the topic conditions and documents tab)



Clean Sky 2 Joint Undertaking



**Call Text** 

<u>Important</u>: For a valid application, only the Call documents published on the Participant Portal will be considered.

**Note:** Q&A Release nr. 1 already available via the Participant Portal!



### Applicant's Proposal Submission System (1/2)

- Opening of the Submission System via the <u>Participant</u>
   <u>Portal [PP]\*</u> on (or soon after) Call Launch date
- Main Requirements to access to the submission system and submit your proposal:
  - ECAS ID account
  - European Commission Authentication System
  - Unique Identifier is your e-mail address so be consistent in using it
  - PIC Participant Identity Code
  - Unique per Legal Entity Avoid duplicates
  - Temporary versus validated PIC
- Please note:
  - On-line Submission of Proposals only [no other method i.e. e-mail, etc.]
  - **Deadline is absolute** (Brussels Time)

<sup>\*</sup> Pls note that the H2020 Participant Portal will soon to be renamed as "Funding & Tender Portal"

### Applicant's Proposal Submission System (2/2)

### Templates for submitting a valid proposal:

- 1. Part A [Administrative Section (Coordinator ID, Legal LEAR etc.)]
- 2. Part B.I [Technical Section: 3 EVAL Criteria and technical and financial content linked to DoA]
- <u>NOTE:</u> 70-page limitation for non-thematic topics, 30-page limitation for thematic topics
- 3. <u>Part B.II</u> [Admin Section: members of consortium (participants, operational capacity, etc.), (potential) ethics and security issues identified by the applicant]
- 4. Part C [ESIF Complementary Activities OPTIONAL]
- 5. Part D [Declaration on the Participation of any Affiliated Entities to Private Members of CS2JU in this proposal and Declaration(s) of Interests]

These templates (in pdf format) are available to potential applicants on the Participant Portal prior the official opening of the Submission System.

# Part B.I [Technical Section: EVAL Criteria and technical and financial content linked to DoA]



### Research and Innovation Actions (RIA)

Innovation Actions (IA)
Coordination and Supported Action (CSA)
Call for Proposals

Partner(s) Application/Proposal Template (Technical Section)

PART B. I

- March 2016 -

#### PART B SUBMISSION

Your document submitted will be composed of 2 parts

PART B.

This part introduces the 3 first points which relate to an evaluation criterion for a full proposal. The applicant should complete all these points in order to validate his/her application.

This part is subject to a 70-page limitation, including the table templates a presented under the technical section of the present document.

PARTRI

This part introduces the 2 last points which relate to the members of consortium (participants, operational capacity, etc.), (potential) ethics and security issues identified by the applicant.

This part is complementary to PART B.I. The applicant should complete all these points in order to validate his her application. This part is not subject to any page limitation.

♣Please do not merge PART B.I and PART B.II as these documents should be submitted separately in the submission system.

PART B. I of the Partner(s) Application/Proposal Template for IA/RIA (Technical Section)
[Proposal Acronym]

- 1. Excellence
- 2. Impact
- 3. Implementation

Important: Calls for proposals will be managed in compliance with the CS2 Work Plan and the General Annexes of the Horizon 2020 Work Programme 2018-2020



### Part B.I [Tech Section: EVAL Criteria for RIA, IA and CSA]

### 1. Excellence

- 1.1 Objectives
- 1.2 Relation to the CS2JU Work Plan
- 1.3 Concept and approach
- 1.4 Ambition

### 2. Impact

- 2.1 Expected Impact
- 2.2 Measures to maximise impact
  - 2.2.1 Dissemination and exploitation of results
  - 2.2.2 Communication activities

### 3. Implementation

- 3.1 Description of work Work packages, deliverables and milestones
- 3.2 Management Structure and Procedures
  - 3.2.1 Capabilities
  - 3.2.2 Structure and procedures
  - **3.2.3** Risks
- 3.3 Consortium/ Clusters as a whole (where applicable)
- 3.4 Resources to be committed





# CS2 Call Background documentation The 3 Levels of CS2 Objectives

### **CS2** High Level Objectives



### Objectives in the Bi-Annual Work Plan



# Objectives in each topic description





# The Three Criteria – Elaborated 1. EXCELLENCE

Type of Actions	Aspects for Evaluation	Corresponding Ref. in the proposal template (Part B.I.)
Research	Clarity and pertinence of the objectives	§1.1
and Innovation Actions;	Soundness of the concept, and credibility of the proposed methodology;	§1.3
Innovation Actions	Appropriate consideration of interdisciplinary approaches and, where relevant, use of stakeholder knowledge and gender dimension in research and innovation content	§1.3
	Extent that the proposed work is beyond the state of the art, and demonstrates innovation potential	§1.4

**NOTE**: For Thematic Topics to determine the ranking, the score for the criterion 'excellence' will be given a weight of 1.5.

# The Three Criteria – Flahorated

The section on exploitation shall demonstrate a clear §2.2.1

commitment to support exploitation of the results brought by

their participation in the programme and contribute to

	The Three Chiena – Elaboratet
	2. IMPACT
Type of Actions	Aspects for Evaluation
Research and Innovation	The extent to which the outputs of the project would contribute to each of the expected impacts mentioned in the work programme under the relevant topic;
Actions; Innovation Actions	Any substantial impacts not mentioned in the work programme, that would enhance innovation capacity, create new market opportunities, strengthen competitiveness and growth of companies, address issues related to climate change or the environment, or bring other important benefits for society;

Quality of the proposed measures to:

European EU competitiveness.

**Audiences** 

# §2.1 §2.1

**Corresponding Ref.** 

template (Part B.I.)

in the proposal

• Exploit and disseminate the project results (incl. management §2.2.1 of IPR), and to manage research data where relevant. •Communicate the project activities to different target §2.2.2

### IMPACT criterion: basic assumptions

- Assume that the proposal is 100% successful
- It is not necessary that impact is immediate but the proposal should be evaluated on the *likelihood* and *significance* of the impact[s] described assuming the project's success.
  - Are these impacts qualified and/or quantified?
- A proposal does not need to demonstrate impact in all areas (but the merit of the proposal and score should reflect the breadth and scope of impacts expected).

### **NOTE:**

- This criterion may play a « tie-breaker » role. Emphasis should be given to the innovation / demonstration orientation of the CS2 programme.
- For Innovation actions to determine the ranking, the score for the criterion 'impact' will be given a weight of 1.5.

### How should Innovation orientation be evaluated?

Experts should check that the proposed activities are in line with the type of action implemented by the topic [RIA/IA/CSA].

### Under the 'Impact' criterion:

- All aspects should receive attention, see previous slide.
- The proposers' description of any barriers/obstacles, and any framework conditions (such as regulation and standards), that may determine whether and to what extent the expected impacts will be achieved should also be checked and assessed;
- The extent to which risks have been identified as well as potential mitigation of these can be helpful in assessing the merit of the proposal's impact[s].

The Three Criteria – Elaborated (5/6): 3. IMPLEMENTATION			
Type of Actions	Aspects for Evaluation	Corresponding Ref. in the proposal template (Part B.I.)	
Research and Innovation	Quality and effectiveness of the work plan, including extent to which the resources assigned to work packages are in line with their objectives and deliverables;	§3.1 / §3.4	
Actions; Innovation Actions	Appropriateness of the management structures and procedures, including risk and innovation management;	§3.2	
Actions	Match of technical capabilities and skills with the Topic Area and congruent with the programme objectives embodied in the topic;	§3.2	
	Ability to work effectively within a supply chain and into an equal or higher tier industrial organization;	§3.2.1	
	Complementarity of the participants and extent to which	§3.3	

the consortium as whole brings together the necessary

Appropriateness of the allocation of tasks, ensuring that all

participants have a valid role and adequate resources in the

expertise;

project to fulfil that role.

§3.3 / / §3.4

### Efficient and well justified application of resources [1]

### Requested funding, total eligible costs vs. the indicative topic value:

- ✓ The indicative topic funding value provided in the Call is an <u>estimate</u>
- ✓ Applicants must address the scope of the topic in full and submit with their proposal both requested funding and the total eligible costs
- ✓ Applicants' resource requirements for the proposed activity, should be detailed with due justifications and explanations (see Part B.I. -Work Package Effort)
- ✓ The applicant's **total eligible costs** of their proposal should be considered for the evaluation of the *Adequacy & Efficiency of the allocation of resources*
- ✓ The requested funding amount should not be considered as this may depend on the nature of the applicant in accordance with H2020

## How to score the Adequacy & Efficiency of the allocation of resources:

- ✓ Should be based on the total eligible costs of their proposal
- ✓ No ceiling as such shall apply
- ✓ The proposal must contain due justification of any exceedance of the topic's "Gross Budget", which should be calculated as below
- ✓ Scores for the Implementation criterion **must** take the level of exceedance *or* potential savings [if any] vs. this Gross Budget and the justification into consideration.

#### For RIAs and CSAs:

- Merit of the proposal wrt resource requirements stated for the technical content, in light of topic
- Total Eligible Cost to be compared to [100/100 x indicative funding of the topic] as "Topic Gross Budget"

#### For IAs

- Merit of the proposal wrt resource requirements stated for the technical content, in light of topic
- Total Eligible Cost to be compared to [100/70 x indicative funding of the topic] as "Topic Gross Budget"

### A reminder on funding rates [info only]

- ✓ Actions launched as CfP Topics and defined in the Work Plan are labelled "Research & Innovation Actions" and "Coordination and Support Actions"
- ✓ For Research & Innovation Actions and Coordination and Support Actions: all participants will be reimbursed 100% of Total Eligible Costs

Funding = YY% x (Direct Costs (1) x 125%+ Subcontracting costs)

- Research & Innovation Actions and Coordination and Support Actions: YY = 100% for all participants
- (1) Direct Costs include direct personnel costs + other direct costs



### Ethics Evaluation in Horizon 2020

- In H2020, all proposals considered for funding will be submitted to an Ethics Review.
- The CS2JU will check, as appropriate, with the help of independent ethics experts - if the proposal complies with ethical principles and relevant legislation.
- All proposals must describe ethical issues raised & how they will be addressed so as to conform to national, European and international regulations.



### Ethical Issues raised in Calls for Partners in Clean Sky

Typical examples from previous Calls where applicants raised an ethical issue:

- Importing/exporting for non-EU countries
- Involvement of non-EU countries
- Dual use / Exclusive focus on civil application
- Use of humans in testing (infrequent in Clean Sky)
- Personal data (data protection, Art 39.2 of the GA)
- Misuse



# How to get your proposal "ethics-ready" for funding

### Each applicant is responsible for:

- identifying any potential ethics issues
- handling ethical aspects of their proposal
- detailing how they plan to address them in sufficient detail already at the proposal stage

### Which part of the proposal must be checked by the ethics screener?

- Part A (ethics issues table)
- Part B: <u>Information can be anywhere</u>,
   but special attention to the "<u>Ethics section</u>" in part B.II\*, where the applicant must provide the ethics self-assessment, a description of the ethics issues identified and the related arrangements.

<sup>\*</sup>Template available on Participant Portal; see "Topic conditions and documents"

### Ethics Issues Table in Part A

1. <u>Human embryos/foetuses</u> i		Page
Does your research involve Human Embryonic Stem Cells (hESCs)?	○ Yes    No	
Does your research involve the use of human embryos?	○Yes   No	
Does your research involve the use of human foetal tissues / cells?	○Yes   No	
2. HUMANS		Page
Does your research involve human participants?	○Yes   No	
Does your research involve physical interventions on the study participants?	○Yes   No	
Does it involve invasive techniques?	OYes ● No	
3. HUMAN CELLS / TISSUES		Page
Does your research involve human cells or tissues? If your research involves human embryos/foetuses, please also complete the section "Human Embryos/Foetuses" [Box 1].	CYes    ● No	
4. PROTECTION OF PERSONAL DATA ii		Page
Does your research involve personal data collection and/or processing?	○Yes   No	
Does your research involve further processing of previously collected personal data (secondary use)?	○Yes   No	
5. <u>ANIMALS</u> iii		Page
Does your research involve animals?	○Yes   No	

Indicates pages in Part B of the proposal —

6. NON-EU COUNTRIES			Page
Does your research involve non-EU countries?	Yes	<ul><li>No</li></ul>	
Do you plan to use local resources (e.g. animal and/or human tissue samples, genetic material, live animals, human remains, materials of historical value, endangered fauna or flora samples, etc.)?	Yes	No	
Do you plan to import any material - including personal data - from non-EU countries into the EU? If you consider importing data, please also complete the section "Protection of Personal Data" [Box 4].	Yes	No     No	
Do you plan to export any material - including personal data -from the EU to non-EU countries?  If you consider exporting data, please also complete the section "Protection of Personal Data" [Box 4].	○ Yes	No     No	
If your research involves <u>low and/or lower middle income countries</u> , are benefits-sharing measures foreseen?	Yes	No	
Could the situation in the country put the individuals taking part in the research at risk?	Yes	No	
7. ENVIRONMENT PROTECTION vi Directive 2001/18/EC - vii Directive 2008/41/EC - viii Regulation EC No 1948/2003 - ix Directive 2008/56/EC x Council Directive 92/43/EEC -xi Council Directive 79/409/EEC - xii Council Regulation EC No 388/97			Page
Does your research involve the use of elements that may cause harm to the environment, to animals or plants?	<ul><li>Yes</li></ul>	○ No	36
Does your research deal with endangered fauna and/or flora and/or protected areas?	Yes	No	
Does your research involve the use of elements that may cause harm to humans, including research staff?	<ul><li>Yes</li></ul>	○ No	37
8. <u>DUAL USE</u> xiii			Page
Does your research have the potential for military applications?	Yes	No	
9. MISUSE			Page
Does your research have the potential for malevolent/criminal/terrorist abuse?	O Yes	No	
10 OTHER ETHICS ISSUES			Page
Are there any other ethics issues that should be taken into consideration? Please specify	Yes	<ul><li>No</li></ul>	





### Ethics section in Part B.II

#### 5.1 Ethics

A guide regarding the ethic aspects is available via the EC Participant Portal<sup>4</sup> and allows the participant to conduct a self-assessment, if necessary.

Are there any ethics issues that should be taken into consideration? If yes, please specify

#### (YES/NO)

Note that if you have entered any ethics issues in the ethical issue table in the administrative proposal forms, you must:

- submit an ethics self-assessment, which:
  - describes how the proposal meets the European, national legal and ethical requirements of the country or countries where the tasks raising ethical issues are to be carried out;
  - explains in detail how you intend to address the issues in the ethical issues table, in particular as regard:.
    - o research objectives (e.g. study of vulnerable populations, dual use, etc.)
    - o research methodology (e.g. protection of any data collected, etc.)
    - o the potential impact of the research and the measure and safeguards which will be taken to prevent, monitor and mitigate any ethical issue (e.g. dual use issues, environmental damage, stigmatisation of particular social groups, political or financial retaliation, benefit-sharing, malevolent use, etc.).

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<sup>&</sup>lt;sup>4</sup> Applicant(s) is (are) invited to conduct an ethic self-assessment of his/her proposal. For this purposed, a guide is available via the following link of the EC Participant Portal: <a href="http://ec.europa.eu/research/participants/docs/h2020-funding-guide/cross-cutting-issues/ethics\_en.htm">http://ec.europa.eu/research/participants/docs/h2020-funding-guide/cross-cutting-issues/ethics\_en.htm</a>

### Further information

Ethics in H2020

http://ec.europa.eu/research/participants/docs/h2020-funding-guide/cross-cutting-issues/ethics en.htm

Ethics self-assessment

http://ec.europa.eu/research/participants/data/ref/h2020/grants\_manual/hi/ethics/h2020\_hi ethics-self-assess\_en.pdf

- Research focusing exclusively on civil applications
   http://ec.europa.eu/research/participants/data/ref/h2020/other/hi/guide\_research-civil-apps\_en.pdf
- Research involving dual use items
   http://ec.europa.eu/research/participants/data/ref/h2020/other/hi/guide research-dual-use en.pdf
- Potential misuse of research results

http://ec.europa.eu/research/participants/data/ref/h2020/other/hi/guide\_research-



### Prior to your application submission

# Prior any submission of proposal, applicants should check the following elements:

- Eligibility, admissibility and topic specific conditions [see the CSJU Work Plan, General Annexes of H2020 Work Programme, Topic descriptions] <u>Important Note</u>: Existing Core Partner interested in Call for Proposals are invited to check their admissibility via the list of Private Members available via the JU website. → Direct link: <u>here</u>
- Evaluation criteria, scoring and threshold [see the CSJU Work Plan]
- Full evaluation procedure [see Rules for submission, evaluation, selection, award and review procedures for Calls for Proposals]
  - → All Call background documentation available via the Participant Portal of the European Commission

### Submission System: Remember

- All Call Documents on <u>Participant Portal</u>
- All information can be downloaded including static versions (i.e. PDF version) of on-line forms for preparatory information gathering
- Online forms and uploaded documents
- Respect size and page limits as indicated. Do not use tiny fonts or links to unofficial annexes
- Validate formats as you progress not at the last minute!
- Can save / submit and revise many times



### Any questions?

### Info-Call-CFP-2018-02@cleansky.eu

Last deadline to submit your questions: 5<sup>th</sup> December 2018, 17:00 (Brussels time)

### **Innovation Takes Off**







### Thank You





































